



CERTIFICATE UNDER 37 CFR 3.73(b)

Applicant: GUO ET AL.

Docket No.: 3123-412

Application No.: 10/053,934

Filed: 1/22/02

Confirmation No.:

For: VIBRATION-DAMPED PUSH-PIN ASSEMBLY FOR DISK DRIVE SERVO WRITING

MAXTOR CORPORATION, a Delaware corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel ____, Frame ____, or for which a copy thereof is attached.
- B. ☒ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:
- ☐ Additional documents in the chain of title are listed on a supplemental sheet.
- ☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

By: 

JAMES L. JOHNSON
REG. NO. 34,193
MARSH FISCHMANN & BREYFOGLE LLP
3151 SOUTH VAUGHN WAY, SUITE 411
AURORA, CO 80014
(701) 293-0301

Date: 3/25/02

ASSIGNMENT

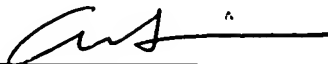
WHEREAS, we, Lin Guo of 1174 Eagle Ridge Way, Milpitas, CA 95035; David M. Tung of 16 Rockrose St., Livermore, CA 94550; Xiaoping Hu of 373 River Oaks Circle #2505, San Jose, CA 95134; Wei Guo of 2944 Park Place Common, Fremont, CA 94536; and Mark S. Seymour of 399 Mendel Lane, Felton, CA 95018, along with William M. Marvin, Jr. of 544 Hourglass Ct., Loveland, CO 80537 have invented a certain new and useful invention entitled "VIBRATION-DAMPED PUSH-PIN ASSEMBLY FOR DISK DRIVE SERVO WRITING", for which an application for Letters Patent of the United States has been prepared and filed on January 22, 2002, receiving Serial No. 10/053,934, and further identified as Attorney Docket No. 3123-412;

NOW THEREFORE, be it known that we, five of the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, MAXTOR CORPORATION, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503, its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than MAXTOR CORPORATION and we are under no obligation to make and shall not make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.


The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to MAXTOR CORPORATION, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 3/22/02

By: 
Lin Guo

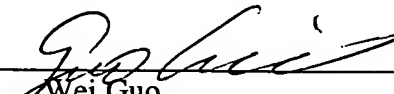
Date: 3/22/02

By: 
David M. Tung

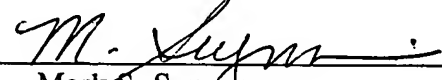
Date: 3/22/02

By: 
Xiaoping Hu

Date: 03/22/02

By: 
Wei Guo

Date: 03/22/02

By: 
Mark S. Seymour

STATE OF _____)
) ss.
COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared _____
____ Lin Guo, known to me to be the person whose name is subscribed to the foregoing instrument,
and acknowledged to me that he or she executed the same for the purposes and considerations
therein expressed.

Given under my hand and seal of office this _____ day of _____, 2002.

Notary Public
My Commission Expires: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

SS.

On March 22, 2002, before me, Mary J. England,
Date Name and Title of Officer (e.g., "Jack Doe, Notary Public")

personally appeared Lin Guo,
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: Assignment for Patent

Document Date: March 22, 2002 Number of Pages: two

Signer(s) Other Than Named Above: David M. Tung, Xiaoping Hu, Wei Guo & Marks, Seymour

Capacity(ies) Claimed by Signer

Signer's Name: Lin Guo

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: self

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

} ss.

On March 22, 2002, before me,

Date

Mary J. England

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared

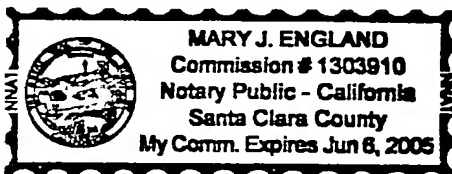
David Marcus Tung

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal Above

WITNESS my hand and official seal.

[Signature]
Signature of Notary Public

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Patent Assignment

Document Date:

March 22, 2002

Number of Pages:

two

Signer(s) Other Than Named Above:

Lin Guo, Xiaoping Hu, Wei Guo + Mark S. Seymour

Capacity(ies) Claimed by Signer

Signer's Name:

David Marcus Tung

☒ Individual

☐ Corporate Officer — Title(s):

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other:

Signer Is Representing:

self

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of Santa Clara } ss.

On March 22, 2002, before me, Mary J. England
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Xiaoping Hu
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



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WITNESS my hand and official seal.
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Capacity(ies) Claimed by Signer

Signer's Name: Xiaoping Hu

- ☒ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: self



CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of

Santa Clara

} ss.

On March 22, 2002, before me, Mary J. England

Date

Name and Title of Officer (e.g., "Jane Doe, Notary Public")

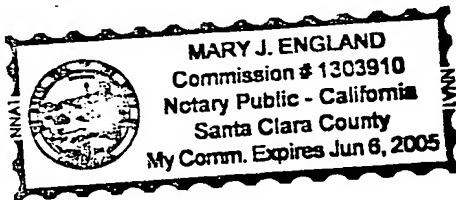
personally appeared Wei Gao

Name(s) of Signer(s)

☐ personally known to me

☒ proved to me on the basis of satisfactory evidence

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[Signature]
Signature of Notary Public

Place Notary Seal Above

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Capacity(ies) Claimed by Signer

Signer's Name: Wei Gao

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: Self

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

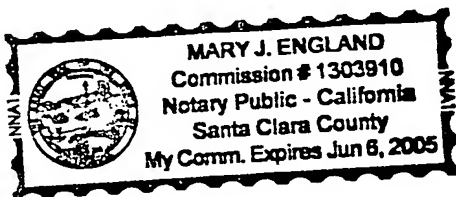
County of Santa Clara } ss.

On March 22, 2002 before me, Mary J. England
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Mark Spencer Seymour
Name(s) of Signer(s)

☐ personally known to me
☒ proved to me on the basis of satisfactory evidence

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[Signature]
Signature of Notary Public

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Document Date: March 22, 2002 Number of Pages: two

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Capacity(ies) Claimed by Signer

Signer's Name: Mark Spencer Seymour

☒ Individual

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Attorney in Fact

☐ Trustee

☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: Self

RIGHT THUMBPRINT
OF SIGNER

Top of thumb here

ASSIGNMENT

WHEREAS, I, William M. Marvin, Jr. of 544 Hourglass Ct., Loveland, CO 80537, along with Lin Guo of 1174 Eagle Ridge Way, Milpitas, CA 95035; David M. Tung of 16 Rockrose St., Livermore, CA 94550; Xiaoping Hu of 373 River Oaks Circle #2505, San Jose, CA 95134; and Mark S. Seymour of 399 Mendel Lane, Felton, CA 95018, have invented a certain new and useful invention entitled "VIBRATION-DAMPED PUSH-PIN ASSEMBLY FOR DISK DRIVE SERVO WRITING", for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney Docket No. 3123-412 and executed concurrently herewith;

NOW THEREFORE, be it known that I, one of the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, MAXTOR CORPORATION, a Delaware Corporation having a place of business at 2452 Clover Basin Drive, Longmont, Colorado, 80503; its successors, legal representatives and assigns, the entire right, title and interest in the aforesaid application for the territory of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty, and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues, Reexaminations and other patent rights, obtained for the invention in the United States or any other country; I also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; I warrant that I have made no assignment of the invention, application or patent therefor to a party other than MAXTOR CORPORATION and I am under no obligation to make and shall not make any assignment of the invention, application, or patent therefor to any other party; and I further agree to cooperate with the assignee hereunder in the obtaining, sustaining and enforcing of any and all such Letters Patent and patent rights and in confirming the assignee's exclusive ownership of the invention, without further consideration, but at the expense of said assignee.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to MAXTOR CORPORATION, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the party hereto has executed this Assignment as of the date indicated hereunder.

Date: 4/18/02

By: 

William M. Marvin, Jr.

STATE OF

)

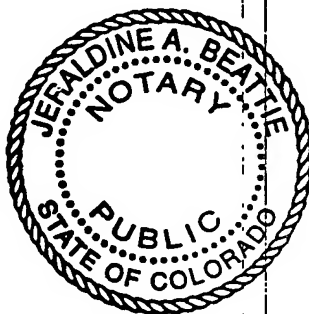
) ss.

COUNTY OF

)

Before me, a Notary Public in and for said County and State, personally appeared William M. Marvin, Jr., known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that he executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 18 day of January, 2002.



Jeraldine A. Beathe
Notary Public

My Commission Expires: 5/24/05



POWER OF ATTORNEY

On behalf of Maxtor Corporation, having a principal place of business at 2452 Clover Basin Drive, Longmont, Colorado 80503, the undersigned appoints all attorneys and agents associated with Customer Number 25231 as attorneys and agents for Maxtor Corporation, with full powers of substitution, association and revocation, to prosecute any application that is filed with the United States Patent and Trademark Office by any of such attorneys or agents and that is assigned to Maxtor Corporation, as well as all corresponding foreign applications, and further to transact all business in the United States Patent and Trademark Office and all foreign and international patent offices connected therewith.

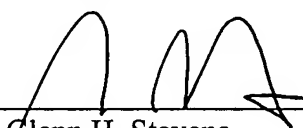
On behalf of Maxtor Corporation, the undersigned authorizes any attorney or agent associated with Customer Number 25231 to execute any statement under 37 C.F.R. §3.73(b) in relation to any application where such attorney or agent has a power of attorney in accordance with the foregoing.

On behalf of Maxtor Corporation, the undersigned authorizes all correspondence regarding the above-noted matters to be directed to the correspondence address associated with Customer Number 25231.

The undersigned (whose title is supplied below) is authorized to act on behalf of Maxtor Corporation.

Maxtor Corporation

Date: 6/11/01

By: 
Glenn H. Stevens
Vice President and General Counsel